



Three-dimensional fluoroscopic navigation versus fluoroscopy-guided placement of pedicle screws in L4-L5-S1 fixation: single-centre experience of pedicular accuracy and S1 cortical fixation of 810 screws

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Background: Three-dimensional (3D) navigation techniques can theoretically provide higher accuracy rates and increased safety for pedicle screw (PS) placement than traditional fluoroscopy (FL) guided methods. In this study, we compare the pedicular accuracy of 3D isocentric fluoroscopic navigation (3DFL) versus FL guidance in PS L4-L5-S1 fixation and evaluate the differential cortical purchase and safety of fixation of the S1 PS.

Methods: This is a single-centre retrospective study of 810 PSs placed in open L4-L5-S1 fixation between 2012 and 2017 in 39 patients using standard FL and in 96 patients under 3DFL. Pedicular screw accuracy was determined by postoperative computed tomography (CT) and graded on a 4-tiered classification system according to Gertzbein and Robbins. In addition, sacral screws were evaluated depending on the degree of cortical fixation: monocortical, bicortical or tricortical, and the degree of safety with respect to retroperitoneal structures.

Results: Grade 0 perfect pedicular screw placement was 95% for 3DFL screws compared to 85% for screws placed under fluoroscopy ($P<0.05$). The number of grade 0 versus grade 1 and higher (breached screws) was statistically significant ($P<0.05$). Higher S1 cortical screw accuracy [77% versus 51% ($P<0.05$)] for bi- and tricortical fixation and a lower percentage of “at risk” PSs ($P<0.05$) were achieved with placement under 3DFL versus FL.

Conclusions: 3DFL enhances the accuracy and safety of PS placement in L4-L5-S1 fixation, reducing the rate of misplaced screws and improving S1 cortical fixation.

Keywords: Navigated spine surgery; three-dimensional fluoroscopy; pedicle screw accuracy; sacrum cortical fixation; lumbosacral spine

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Introduction

Transpedicular screw L4-L5-S1 fixation is an established, common procedure performed by spine surgeons that requires correct placement of pedicle screws (PSs) to

provide biomechanical stability and to avoid injuries in neighbouring neurovascular structures. Even in expert hands, and although these pedicles are the widest of the entire vertebral column, the widespread practice of PS

implantation based on surface anatomy combined with conventional fluoroscopy (FL) is associated with high rates of malposition, ranging from 10% to 40% in different series, resulting in a risk of iatrogenic injury and the need for surgical revision (1-3).

From a biomechanical perspective, both the position of the screw relative to the lumbar pedicle and the fixation mode (monocortical, bicortical or tricortical) in S1 fixation affect the stability of segmental fixation and the success of spinal fusion (4-6). The progressive development of image-guided spinal surgery, which involves real-time integration of the three-dimensional (3D) anatomy with instrumental techniques, has enabled improved precision in the placement of PSs while reducing radiation exposure of the surgical team (7-12).

The authors carried out a retrospective study to analyse the accuracy and safety of PS implantation in L4-L5-S1 fixation using fluoroscopy (FL) versus 3D isocentric fluoroscopic navigation (3DFL). To determine the associated biomechanical implications, the placement outcomes with different modes of cortical fixation of PSs in S1 (monocortical, bicortical or tricortical) were evaluated.

Methods

A retrospective study was carried out with a consecutive series of 135 patients who underwent open L4-L5-S1 fixation with PS implantation between 2012 and 2017. The patients were divided into two groups based on the imaging protocol used for transpedicular instrumentation. FL was used until October 2013, date in which a 3D isocentric fluoroscopy navigation system was incorporated that was used systematically in all cases. Group FL included 39 patients (9 males and 30 females, average age of 60.6 years) in whom fluoroscopy was used for screw implantation. Group 3DFL consisted of 96 patients (27 males and 69 females, average age 58.7 years) who underwent navigated insertion of Stryker Xia screws.

Pedicular screw insertion was always performed at the initial phase of the surgery, before an eventual laminectomy in case of stenosis. Laminectomy may increase the intervertebral mobility and thus hinder the transpedicular instrumentation, and in case of navigation it may alter the stability of the reference clamp.

Surgical technique under FL

Under biplanar fluoroscopy, pedicles were cannulated

according to the Roy-Camille technique. The trajectory of a palpation probe was verified by the “owl’s eye technique”: after insertion of a metal marker, fluoroscopic exploration was performed with the fluoroscopy beam projected coaxially on the pedicle. A final assessment of the screw was carried out under fluoroscopy in the lateral view.

Surgical technique under 3DFL

Once the patient was placed in the prone position on a carbon fibre table, the procedure was initiated, and the vertebral spaces projected for instrumentation were located by fluoroscopy. The reference clamp for navigation was fixed in the spinous process of the L3 vertebra. Then, with the patient in an apnoeic state for 1 minute and the surgical team outside the operating room, a 3D isocentric fluoroscan was performed by motorized elliptical movement of the fluoroscope with a maximum rotational arc of 135° around the patient (Ziehm Vision FD Vario 3D Imaging, Nuremberg, Germany). We obtained 120 successive 2D fluoroscopic images of the lumbar region to be instrumented, which allowed reconstruction in the coronal, axial and sagittal planes in the fluoroscopy equipment itself, and the reconstructed images were automatically transferred to the navigation platform (System II SpineMap 3D, Stryker, Freiburg, Germany) for multiplanar reconstruction of the vertebral anatomy.

Subsequently, the locations of the navigation instruments were recorded, and the instruments were calibrated with an error margin of less than 0.5 mm.

PSs were implanted under the guidance of the navigation system and then a second 3D fluoroscan was performed to examine the final position of the screws intraoperatively. If the position of a screw was judged to be inadequate, then the screw was replaced and repositioned, followed by another fluoroscan.

Regardless of the imaging system used, the authors attempted to fix the PSs in S1 at the bicortical or tricortical level, which is biomechanically superior to monocortical fixation (4,5).

Assessment of the position of the screws

All patients were examined postoperatively by computed tomography (CT) scans (GE VCT64 with sub-millimeter resolution) and the spatial arrangement of the PSs was analysed by the surgeons using the Dicom viewer Ossirix.

The position of each screw was evaluated both at the

pedicular level and in relation to the vertebral body.

For the screw position at the pedicular level, the Gertzbein and Robbins (13) scale was followed, which includes four grades according to the existence of rupture of the pedicle wall and the extent of extracortical displacement in increments of 2 mm:

- ❖ Grade 0: the screw is completely contained in the pedicle; “perfect position”;
- ❖ Grade 1: perforation-rupture of the pedicle wall with displacement less than 2 mm; “clinically acceptable position” or safe-zone screws;
- ❖ Grade 2: moderate displacement between 2 and 4 mm; potentially hazardous;
- ❖ Grade 3: severe displacement greater than 4 mm; absolutely hazardous.

Grade 0 is considered “perfect”, and grades 0 + 1 are considered “clinically acceptable”. Screws with grades 2 and 3 are regarded as a “potential clinical risk” due to the possibility of neurovascular lesions; their deviation was evaluated as medial-inferior or lateral-superior (*Figure 1*).

For the screw position in relation to the vertebral body, the existence of anterior cortical perforation, extracortical displacement and the risk of injury to neurovascular structures was assessed. For PSs in S1, the category of fixation was evaluated as monocortical (purchase with posterior cortex penetration alone), bicortical (tapping of the anterior sacral cortex or cranial S1 endplate) and tricortical (penetration of the apex of the sacral promontory) (*Figure 2*). According to Sarwahi *et al.* (14), the category of “at risk” was established for cases of anterior protrusion greater than 4 mm (*Figure 3*).

Statistical analysis

The positions of the screws and the associated risk were compared using the chi-square test and Fisher’s exact test (two-tailed). Relative risks (RRs), odds ratios (ORs) with 95% confidence intervals, and the number needed to harm (NNH) were calculated.

The analysis was carried out using the software EPIDAT 3.1 (General Directorate of Public Health, 2006), and P values <0.05 were considered significant.

Results

The general characteristics of each group, including demography, body mass index (BMI), preoperative diagnosis and surgical technique, were similar, except for a higher

number of revision cases in the 3DFL group (*Table 1*). A total of 810 screws were implanted, including 234 under FL and 576 under 3DFL.

Assessment of the pedicle position of the screws (*Table 2*)

A “perfect” position of the screws (grade 0) was achieved in 85% (200 PSs) of the cases in the FL group compared to 95% (548 PSs) of the cases in the 3DFL group ($P=0.001$). A “clinically acceptable” position (grades 0 and 1) had an accuracy of 96.6% (226 PSs) in the FL group compared to 99.3% (572 PSs) in the 3DFL group ($P=0.004$).

In the FL group, a total of 8 deviated L4-L5 PSs (3.4%) presented extrapedicular displacement greater than 2 mm, with moderate displacement (grade 2) of 5 screws, including 3 medially and 2 laterally deviated screws, and severe displacement (grade 3) of 3 screws, including 1 laterally and 2 medially deviated screws. In the immediate postoperative period, no complications arising from the incorrect positions of the screws were noted; however, the 2 PSs with medial displacement greater than 4 mm were revised.

In the 3DFL group, postoperative CT showed 4 deviated L4-L5 PSs (grade 2) with lateral displacement <4 mm and no screws with severe displacement. In this group, 3D intraoperative fluoroscopic imaging showed incorrect positioning of 6 PSs (2.4%), which were repositioned intraoperatively. Postoperative CT confirmed that the original trajectory deviated in the external direction as well as the correct final position of the implant.

The RR of a displaced PS in the FL group was 4.9 times higher, with a NNH of 38 procedures and an OR of 5.03.

Assessment of the position of L4-L5 PSs in relation to the vertebral body

Anterior/lateral protrusion was observed in 4 (2.6%) of the PSs with fluoroscopy and in 5 (1.3%) of the PSs with navigation, which was less than 3 mm in all cases. Postoperative CT scans did not indicate a risk to vascular structures.

Assessment of the cortical fixation of PSs in S1 (*Table 3*)

In the FL group, PS fixation in S1 was monocortical in 38 cases (49%), bicortical in 24 cases (31%) and tricortical in 16 cases (21%) compared with 44 cases (23%), 65 cases (34%) and 83 cases (43%) in the 3DFL group, respectively. Evaluation of anterior protrusion of the bi-tricortical screws

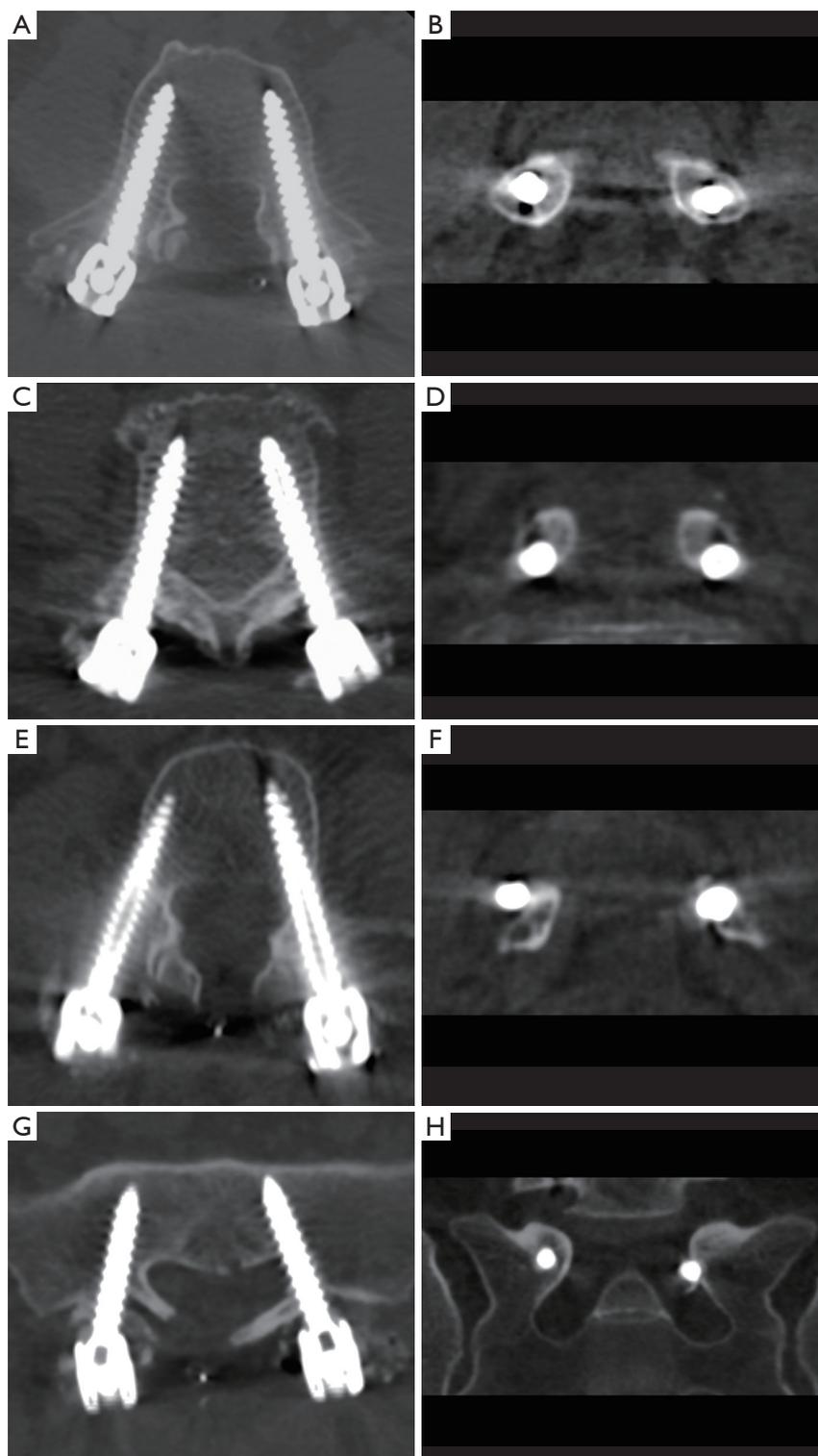


Figure 1 Representative CT images in the axial and coronal planes of grade 0 (A,B), grade 1 (C,D), grade 2 medial and grade 3 lateral (E,F) and grade 3 medial (G,H) pedicle screw placements. CT, computed tomography.

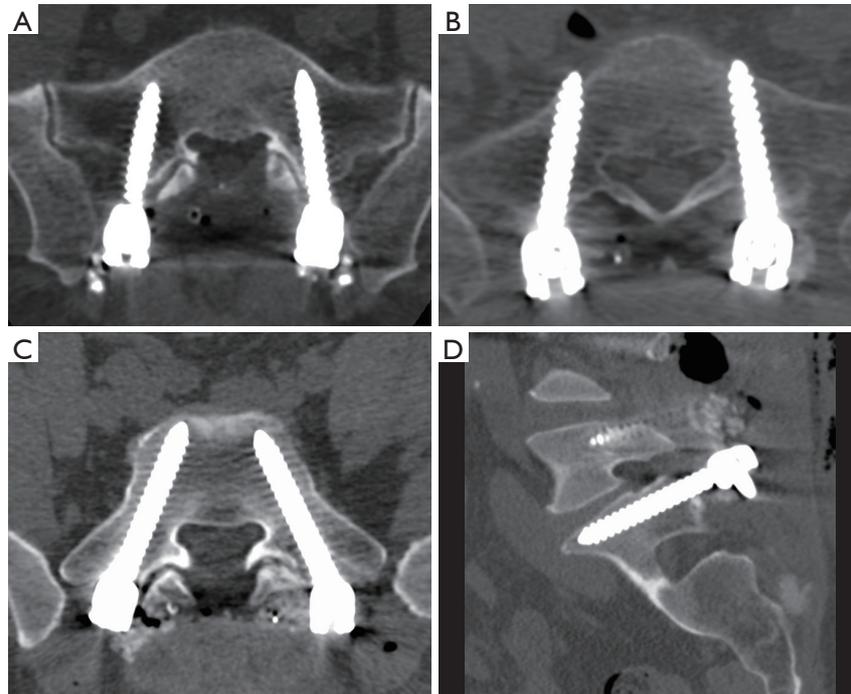


Figure 2 Representative images of different cortical fixations of an S1 pedicular screw: monocortical (A), bicortical (B) and tricortical (C,D) purchase.

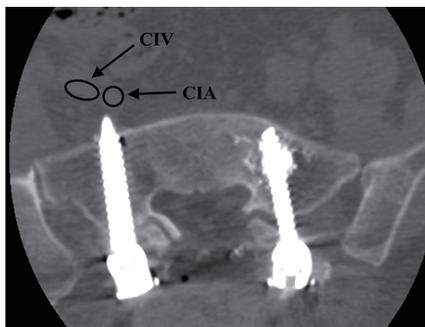


Figure 3 S1 pedicular screw “at risk”: protrusion >4 mm close to the common iliac artery (CIA) and vein (CIV).

revealed 4 “at risk” PSs in the FL group, with anterior protrusion greater than 4 mm and close to the iliac vessels. In the 3DFL group, 9 PSs were revised intraoperatively when unacceptable anterior protrusion was observed on the intraoperative 3D fluoroscan. The differences between both implantation strategies were significant, with a higher percentage of bi-tricortical fixation ($P=0.001$) and a lower risk ($P=0.007$) in the 3DFL group and a NNH of 20

Table 1 Patient characteristics

Variable	Fluoroscopic surgery	3DFL navigated surgery
Mean age (years)	60.6	58.7
Females/males	30/9	74/22
Preoperative diagnosis, n		
Degenerative disc disease	14	33
Listhesis	7	13
Stenosis	16	46
Other	2	4
Revision surgery	6 (15%)	23 (24%)

3DFL, three-dimensional isocentric fluoroscopic navigation.

procedures.

Discussion

The findings show that implantation of PSs at the L4-L5-S1 level using 3DFL results in significantly greater

Table 2 Pedicular screw evaluation

Technique	Total screws	Correctly placed	Minor perforation <2 mm	Displaced with potential clinical risk			
				2 to <4 mm (lat-sup)	2 to ≤4 mm (med-inf)	≥4 m (lat-sup)	≥4 m (med-inf)
Fluoroscopy	234	200	26	2	3	1	2
Navigation	576	548	24	4	0	0	0

lat, lateral; sup, superior; med, medial; inf, inferior.

Table 3 S1 fixation of pedicular screws

Technique	Total screws	Monocortical	Bicortical	Tricortical	At risk
Fluoroscopy	78	38	24	16	4
Navigation	192	44	65	83	0

accuracy and involves less risk than FL, with a perfect position achieved in 95% of the cases and clinically acceptable positioning (displacement <2 mm) with 99.3% accuracy in the 3DFL group compared to 85% and 96% in the FL group, respectively.

Several clinical (11,12,15-17) and experimental (8,18) studies have shown that the positioning accuracy of PSs is significantly better with a navigation system than with FL. In 2013, Waschke *et al.* (11) retrospectively analysed the data of 1,006 patients: in the lumbar spine, the placement accuracy was 96.4% for 2,422 CT-navigated screws and 93.9% for 2,002 PSs placed under fluoroscopy (P=0.001).

The systematic review by Aoude *et al.* (19), which included 68 relevant articles with a total of 3,442 patients, 60 cadavers and 43,305 PSs, reports an average accuracy of 91.4% for PSs placed within the safe zone (<2 mm breach) with the free-hand or fluoroscopy technique compared to an average accuracy of 97.3% for PSs placed using navigation (P<0.001).

The greater precision of the navigation technique is related to the important advantage of intraoperative 3D imaging, which allows the surgeon to confirm correct screw placement prior to leaving the operating room and thus reposition or remove screws as necessary. Ryang *et al.* (20) reported an intraoperative revision rate of 4.9% (36 of 736 PSs) using 3DFL, while Fichtner *et al.* (7) reported a rate of 3% (230 of 7,548 PSs). In the 3DFL group, 15 PSs (2.6%), including 6 lumbar and 9 sacral screws, were reviewed intraoperatively, and none of the patients required reoperation.

The malpositioning of a PS may not only affect the stability of fixation but also result in clinical repercussions

or even necessitate surgical revision (3,7,15,17,21-23).

A literature review indicates that the incidence of complications and the rate of surgical revision are greater with traditional FL than with the various navigation systems. A meta-analysis of 23 spinal instrumentation studies found no neurological complications in the 719 patients that underwent screw placement with navigation, compared with 13 instances of neurological complications (2.3%) in the 569 patients treated without navigation (24). In another meta-analysis of 39 studies (3,062 patients) regarding clinical issues related to PS misplacement in non-navigated procedures, 32 patients in ten studies required further revision surgeries for misplaced PSs, causing neurological problems in 1.04% of the patients (32/3,062) (1).

Fichtner *et al.* (7) recent retrospective study reports a rate of 1.35% for secondary revision (15/1,112 patients) of screws placed by IsoC-3D navigated fluoroscopy versus 4.38% (49/1,120 patients) with FL, which was indicated for mispositioned screws without neurological complications in 50% of both groups.

Similar to our 0% revision surgery rate in the 3DFL group, 0% rates were also reported by Nakashima *et al.* (9), who placed 150 percutaneous posterior lumbar PSs, and also using O-arm based navigation by Shin *et al.* (10) for insertion of 106 PSs.

Regarding sacral fixation, the type of cortical purchase of the PS to use is important to consider. Several *in vitro* and clinical studies have concluded that both bicortical and tricortical purchases are biomechanically superior to monocortical fixation of the screw (4-6).

To the best of our knowledge, this is the first study in the literature comparing different cortical purchases of S1

PSs (Table 3) between fluoroscopy and the 3D navigation technique.

Our data show that better bi-tricortical positioning of PSs is achieved using fluoroscopic navigation versus FL, which may favour a greater degree of bone consolidation in L4-L5-S1 fixation, although this factor was not assessed in our study. Orita *et al.* (6) reports a lower risk of loosening of S1 PSs with tricortical fixation versus monocortical fixation, while Kato *et al.* (25) reports better bone consolidation with tricortical fixation (100% fusion in 98 patients) than with monocortical fixation (10% pseudoarthrosis in 33 patients).

Given the anatomy of the sacrum, this structure is somewhat difficult to assess with FL, including the position of the end of the screw in relation to the anterior sacral cortex (26). However, the literature is controversial, with several studies indicating a “safety margin” with anterior protrusion less than 4 mm in sacral PS placement permitting anterior cortical purchase, while some reports have strongly recommended avoiding anterior cortex penetration because of the risk of neurovascular injury (6,14,27,28). Balling and Blattert (21) mentions the difficulty of achieving correct bicortical fixation in his series of 258 S1 PSs, reporting a total error rate of 5.8%, 3.9% of which was due to excess length, although no clinical lesions were noted.

Fluoroscopic navigation allowed the surgeon to intraoperatively review nine sacral PSs that were found to be excessively long. FL revealed three at-risk PSs with anterior displacement greater than 4 mm on postoperative CT but without clinical repercussions.

The limitations of this study include the retrospective design and the fact that the postoperative scans to evaluate the positions of the PSs were assessed by the surgeons themselves. Although both authors have extensive spinal surgical experience, they cannot exclude the possible influence of the inherent learning curves for FL and the new navigation techniques, which were implemented in consecutive periods.

Conclusions

Placement of L4-L5-S1 pedicular screws under 3DFL was found to be significantly more accurate than placement under FL. This technique may lead not only to less complications and revision surgeries but also to biomechanically superior fixation, especially for bi-tricortical fixation of the S1 vertebra.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: This research article was done with the approval of the local Ethics committees, and written informed consent was obtained from all patients included in the study.

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